# On perfect 2-colorings of the q-ary n-cube \*

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#### Abstract

A coloring of the q-ary n-dimensional cube (hypercube) is called perfect if, for every n-tuple x, the collection of the colors of the neighbors of x depends only on the color of x. A Boolean-valued function is called correlation-immune of degree n-m if it takes the value 1 the same number of times for each m-dimensional face of the hypercube. Let  $f = \chi^S$  be a characteristic function of some subset S of hypercube. In the present paper it is proven the inequality  $\rho(S)q(\cos(f)+1) \leq \alpha(S)$ , where  $\cos(f)$  is the maximum degree of the correlation immunity of f,  $\alpha(S)$  is the average number of neighbors in the set S for n-tuples in the complement of a set S, and  $\rho(S) = |S|/q^n$  is the density of the set S. Moreover, the function f is a perfect coloring if and only if we obtain an equality in the above formula. Also we find new lower bound for the cardinality of components of perfect coloring and 1-perfect code in the case q > 2.

**Keywords**: hypercube, perfect coloring, perfect code, MDS code, bitrade, equitable partition, orthogonal array.

### 1. Introduction

Let  $Z_q$  be the set of entries  $\{0,\ldots,q-1\}$ . The set  $Z_q^n$  of n-tuples of entries is called q-ary n-dimensional cube (hypercube). The  $Hamming\ distance\ d(x,y)$  between two n-tuples  $x,y\in Z_q^n$  is the number of positions at which they differ. Define the number  $\alpha(S)$  to be the average number of neighbors in the set  $S\subseteq Z_q^n$  for n-tuples in the complement of a set S, i. e.,  $\alpha(S)=\frac{1}{q^n-|S|}\sum_{x\not\in S}|\{y\in S\mid d(x,y)=1\}|.$ 

A mapping  $Col: \mathbb{Z}_q^n \to \{0, \dots, k\}$  is called a *perfect coloring* with matrix of parameters  $A = \{a_{ij}\}$  if, for all i, j, for every n-tuple of color i, the number of its neighbors of color j is equal to  $a_{ij}$ . Other terms used for this notion in the literature are "equitable partition", "partition design" and "distributive coloring". In what follows we will only consider colorings in two colors (2-coloring). Moreover, for convenience we will assume that the set of colors is  $\{0,1\}$ . In this case the Boolean-valued function Col is a characteristic function of the set of 1-colored n-tuples.

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A 1-perfect code (one-error-correcting)  $C\subset Z_q^n$  can be defined as the set of units of a perfect coloring with matrix of parameters  $A=\begin{pmatrix}n(q-1)-1&1\\n(q-1)&0\end{pmatrix}$ . If q is the power of a prime number then the coloring with such parameters exists only if  $n=\frac{q^m-1}{q-1}$  (m is integer). For q=2 a list of accessible parameters and corresponding constructions of perfect 2-colorings can be found in [1] and [2].

In [3] it is established that for each unbalanced Boolean function  $f = \chi^S$  ( $S \subset \mathbb{Z}_2^n$ ) the inequality  $\operatorname{cor}(f) \leq \frac{2n}{3} - 1$  holds. Moreover, in the case of the equality  $\operatorname{cor}(f) = \frac{2n}{3} - 1$ , the function f is a perfect 2-coloring. Similarly, if for any set  $S \subset \mathbb{Z}_2^n$  the Friedman (see [4]) inequality  $\rho(S) \geq 1 - \frac{n}{2(\operatorname{cor}(f)+1)}$  becomes an equality then the function  $\chi^S$  is a perfect 2-coloring (see [6]). Consequently, in the extremal cases, the regular distribution on balls follows from the uniform distribution on faces. The main result of present paper is following theorem:

#### Theorem 1.

- (a) For each Boolean-valued function  $f = \chi^S$ , where  $S \subset \mathbb{Z}_q^n$ , the inequality  $\rho(S)q(\operatorname{cor}(f)+1) \leq \alpha(S)$  holds.
- (b) A Boolean-valued function  $f = \chi^S$  is a perfect 2-coloring if and only if  $\rho(S)q(\operatorname{cor}(f)+1) = \alpha(S)$ .

## 2. Criterion for perfect 2-coloring

In the proof of the theorem we employ the idea from the papers [5].

Now we consider  $Z_q$  as the cyclic group on the set of entries  $\{0,\ldots,q-1\}$ . We may impose the structure of the group  $Z_q \times \cdots \times Z_q$  on the hypercube. Consider the vector space  $\mathbb V$  of complex-valued function on  $Z_q^n$  with scalar product  $(f,g) = \frac{1}{q^n} \sum_{x \in Z_q^n} f(x) \overline{g(x)}$ . For

every  $z \in Z_q^n$  define a character  $\phi_z(x) = \xi^{\langle x,z \rangle}$ , where  $\xi = e^{2\pi i/q}$  is a primitive complex qth root of unity and  $\langle x,z \rangle = x_1z_1 + \cdots + x_nz_n$ . Here all arithmetic operations are performed on complex numbers. As is generally known the characters of the group  $Z_q \times \cdots \times Z_q$  form an orthonormal basis of  $\mathbb V$ . It is sufficient to verify that  $\xi^k \overline{\xi^k} = 1$  and  $\sum_{j=0}^{q-1} \xi^{kj} = 0$  as  $k \neq 0$  mod q.

Let M be the adjacency matrix by the hypercube  $\mathbb{Z}_q^n$ . This means that  $Mf(x) = \sum_{y,d(x,y)=1} f(y)$ . It is well known that the characters are eigenvectors of M. Indeed we have

$$M\phi_{z}(x) = \sum_{y,d(x,y)=1} \xi^{\langle y-x,z\rangle + \langle x,z\rangle} = \xi^{\langle x,z\rangle} \sum_{j=1}^{n} \sum_{k\neq 0} \xi^{kz_{j}} = ((n - wt(z))(q - 1) - wt(z))\phi_{z}(x),$$

where wt(z) is the number of nonzero coordinates of z.

Consider a perfect coloring  $f \in \mathbb{V}$ ,  $f(Z_q^n) = \{0,1\}$  with matrix of parameters

$$A = \begin{pmatrix} n(q-1) - b & b \\ c & n(q-1) - c \end{pmatrix}. \tag{1}$$

The vector (-b,c) is an eigenvector of A with the eigenvalue n(q-1)-c-b. The definition of a perfect 2-coloring implies that the function (b+c)f-b is the eigenvector of matrix M. Moreover the converse is true: every two-valued eigenvector of matrix M generates a perfect coloring.

### Proposition 1. (see [1])

- (a) Let f be a perfect 2-coloring with matrix of parameters A (1). Then  $s = \frac{c+b}{a}$  is
- integer and  $(f, \phi_z) = 0$  for every n-tuples  $z \in Z_q^n$  such that  $wt(z) \neq 0, s$ . (b) Let  $f: Z_q^n \to \{0, 1\}$  be a Boolean-valued function. If  $(f, \phi_z) = 0$  for every n-tuples  $z \in \{0, \ldots, q-1\}^n$  such that  $wt(z) \neq 0, s$  then f is a perfect 2-coloring.

Refer as a correlation-immune function of order n-m to a function  $f \in \mathbb{V}$  that every value is uniformly distributed on all m-dimensional faces. For any function  $f \in \mathbb{V}$  we denote the maximum of order of its correlation-immunity by cor(f). Consider a nonempty set of n-tuples  $O(a) = f^{-1}(a) \subset \mathbb{Z}_q^n$  where  $a \in \mathbb{C}$ . An array consisted of n-tuples  $x \in O(a)$ is called orthogonal array with parameters  $OA_{\lambda}(\operatorname{cor}(f), n, q)$ , where  $\lambda = |O(a)|/q^{n-\operatorname{cor}(f)}$ .

#### Proposition 2. (see [5])

- (a) If  $f \in \mathbb{V}$  is a correlation-immune function of order m then  $(f, \phi_z) = 0$  for every n-tuples  $z \in \mathbb{Z}_q^n$  such that  $0 < wt(z) \le m$ .
- (b) A Boolean-valued function  $f \in \mathbb{V}$  is correlation-immune of order m if  $(f, \phi_z) = 0$ for every n-tuples  $z \in \mathbb{Z}_q^n$  such that  $0 < wt(z) \le m$ .

Corollary 1. Let f be a perfect 2-coloring with matrix of parameters (1). Then cor(f) =

For 1-perfect codes last statement was proven otherwise in [7].

Proof of the theorem. We have the following equalities by the definitions and general properties of orthonormal basis.

$$\sum_{z} |(f, \phi_z)|^2 = \frac{1}{q^n} \sum_{x \in \mathbb{Z}_q^n} |f(x)|^2 = \rho(S).$$
 (2)

$$(f, \phi_{\overline{0}}) = \frac{1}{q^n} \sum_{x \in \mathbb{Z}_q^n} f(x) = \rho(S).$$
 (3)

$$(Mf, f) = \frac{1}{q^n} \sum_{x \in Z_q^n} \sum_{y, d(x, y) = 1} f(x) \overline{f(y)} = \text{nei}(S) \rho(S), \tag{4}$$

where  $\operatorname{nei}(S) = \frac{1}{|S|} \sum_{x \in S} |\{y \in S \mid d(x, y) = 1\}|.$ 

$$(Mf, f) = \sum_{z \in \mathbb{Z}_q^n} (n(q-1) - wt(z)q) |(f, \phi_z)|^2.$$
 (5)

From (2–5) and Proposition 2 we obtain the equality

$$\operatorname{nei}(S)\rho(S) = \rho(S)^2 n(q-1) + \sum_{z,wt(z) \ge \operatorname{cor}(f)+1} (n(q-1) - wt(z)q) |(f,\phi_z)|^2.$$

Since  $\sum_{z,wt(z)\geq \operatorname{cor}(f)+1} |(f,\phi_z)|^2 = \rho(S) - \rho(S)^2$ , we have

$$\operatorname{nei}(S)\rho(S) \le \rho(S)^2 n(q-1) + (n(q-1) - (\operatorname{cor}(f) + 1)q)(\rho(S) - \rho(S)^2) \text{ and}$$

$$(\operatorname{cor}(f) + 1)q(1 - \rho(S)) \le n(q-1) - \operatorname{nei}(S). \tag{6}$$

Substitute the set  $Z_q^n \setminus S$  instead of the set S into the inequality (6). Since  $\operatorname{cor}(\chi^S) = \operatorname{cor}(\chi^{Z_q^n \setminus S})$ ,  $1 - \rho(Z_q^n \setminus S) = \rho(S)$  and  $n(q-1) - \operatorname{nei}(Z_q^n \setminus S) = \alpha(S)$  we obtain the item (a) of the Theorem.

Moreover, the equality

$$(cor(f) + 1)q(1 - \rho(S)) = n(q - 1) - nei(S)$$
(7)

holds if and only if  $(f, \phi_z) = 0$  for every *n*-tuple *z* such that  $wt(z) \ge cor(f) + 2$ . Then from Proposition 1 (b) we conclude that *f* is a perfect 2-coloring.

Each perfect 2-coloring satisfies (7), which is a consequence of Proposition 1 (a) and Corollary 1. As mentioned above the equality (7) is equivalent to the equality in the item (b) of the Theorem.  $\square$ 

Since  $nei(S) \neq 0$ , the inequality (6) implies the Bierbrauer – Friedman inequality (see [4], [5])

$$\rho(S) \ge 1 - \frac{n(q-1)}{q(\text{cor}(f)+1)}.$$

For 1-perfect binary codes, a similar theorem was previously proven in [10]. Namely, if cor(S) = cor(H) and  $\rho(S) = \rho(H)$ , where  $S, H \subset \mathbb{Z}_2^n$  and H is a 1-perfect code, then S is also a 1-perfect code.

# 3. Components of perfect 2-coloring

Refer as a bitrade of order n-m to a subset  $B\subseteq \mathbb{Z}_q^n$  that the cardinality of intersections S and each m-dimensional face are even.

**Proposition 3.** Let  $S \subseteq \mathbb{Z}_2^n$  be a nonempty bitrade of order m. Then  $|S| \ge 2^{m+1}$ .

Proposition 3 formulated in other term was proven in [11].

**Proposition 4.** Let  $S \subseteq \mathbb{Z}_q^n$  (q > 2) be a nonempty bitrade of order m. Then  $|S| \ge 2^{m+1}$ .

**Proof.** Suppose that this statement is true for n=k. We will prove it for n=k+1. Let there exist three parallel k-dimensional faces  $F_1$ ,  $F_2$ ,  $F_3$  such that intersections  $F_i \cap S$  are nonempty. By induction hypothesis  $|F_i \cap S| \geq 2^{m-1}$  for i=1,2,3; consequently,  $|S| \geq 3 \cdot 2^{m-1}$ . In the other case  $|S| \geq 2^m$  by Proposition 3.  $\square$ 

Let characteristic functions  $f = \chi^{S_1}$  and  $g = \chi^{S_2}$  be perfect 2-colorings (correlation-immune) with an equal matrix of the parameters (cor(f) = cor(g)). A set  $S_1 \triangle S_2$  is called *mobile* and sets  $S_1 \setminus S_2$  and  $S_2 \setminus S_1$  are called *components* of a perfect 2-colorings (correlation-immune functions)  $\chi^{S_1}$  and  $\chi^{S_2}$  respectively. It is clear, that a mobile set of correlation-immune function of order m is a bitrade of order m.

#### Corollary 2.

- (a) Let f be a perfect 2-coloring with matrix of parameters (1). If  $S \subset \mathbb{Z}_q^n$  is a component of f then  $|S| \ge 2^{\frac{c+b}{q}-1}$ . b) Let  $C \subset \mathbb{Z}_p^n$  be a 1-perfect code. If  $S \subset \mathbb{Z}_q^n$  is a component of f then  $|S| \ge 2^{\frac{c}{q}}$

If q=2 then the lower bound  $|S| \geq 2^{\frac{n+1}{2}-1}$  for the cardinality of components of 1perfect codes is achievable (see, for example, [6]). In the case q > 2 an upper bound for the cardinality of components of 1-perfect codes is obtained constructively (see [8], [9]). If  $q=p^r$  and p is a prime number then  $|S| \geq p^{\frac{q^{m-1}-1}{q-1}(r(q-2)+1)}$  where  $n=\frac{q^m-1}{q-1}$ . A set  $S \subset \mathbb{Z}_p^n$  is called MDS code with distance 2 if intersection S and each 1-

dimensional face contains precisely one n-tuple. Obviously a characteristic function of MDS code is a perfect 2-coloring with matrix of parameters  $\begin{pmatrix} n(q-2) & n \\ n(q-1) & 0 \end{pmatrix}$ . If  $q \ge 4$ then the lower bound  $|S| \geq 2^{n-1}$  for the cardinality of the components of MDS codes is achievable (see [12]).

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